

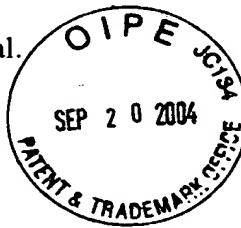
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Calder et al.

Application No.: 09/465,980

Filed: December 16, 1999



Attorney Docket No.: **SUN1P502/P4143**

Examiner: Vo, Lilian

Group: 2127

**Title: METHODS AND APPARATUS FOR
MANAGING AN APPLICATION**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on **September 16, 2004** in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed: Mary Deauclaire
Mary Deauclaire

**INFORMATION DISCLOSURE STATEMENT
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE
(37 CFR §§ 1.56 AND 1.97(c))**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit this reference in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that this reference indeed constitutes prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

Accompanying this Information Disclosure Statement is

a statement as specified in 37 CFR 1.97(e); or
 the fee set forth in 37 CFR 1.17(p).

37 CFR §1.97(e) Statement

The undersigned hereby states:

that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement; or
 that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

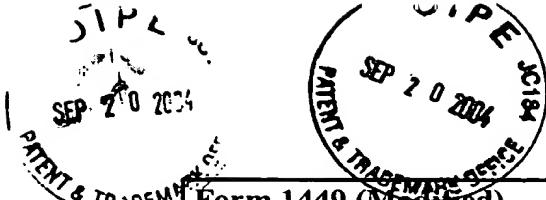
If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P502).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP


Elise R. Heilbrunn
Registration No. 42,649

P.O. Box 778
Berkeley, CA 94704-0778
(510) 843-6200



Form 1449 (Modified)

Atty Docket
No. SUN1P502/P4143

Application No.:
09/465,980

**Information Disclosure
Statement By Applicant**

(Use Several Sheets if Necessary)

Applicant: Calder et al.

Filing Date 12-16-1999

Group 2127

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|------|----------|-------|-----------|-------------|
| | A | | | | | | |
| | B | | | | | | |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | C | | | | | | | |
| | D | | | | | | | |

Other Documents

| | | |
|------------------|-----|--|
| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
| | E | Second Office Action issued July 9, 2004, citing previously-cited documents: EP0524071A1 and EP0908821A1; Chinese Patent Application No. 00810268.6, filed July 13, 2000, <i>Methods and Apparatus For Managing An Application According To an Application Lifecycle</i> . |
| | F | |
| Examiner | | Date Considered |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.